

1 **Erythrocyte indices, anaemia levels and types in Kenyan injection and non-injection**
2 **substance users**

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31 content”.

32

33 **Abstract**

34 The impact of injection and non-injection substance use in HIV infections is an area of great
35 public importance especially with respect to hematologic and immune profiles. Evaluations
36 of hematologic and immune status are critical for better disease classification and clinical
37 management especially of HIV positive substance users. However, not much information is
38 known about the hematologic and immune derangements in HIV infected injection and non-
39 injection substance users. This study, therefore, aimed at determining the laboratory markers
40 of hematologic and immune derangements in HIV infected substance users. Hematologic
41 and immune profiles were evaluated on venous blood specimens obtained from injection
42 substance users, ISU (HIV-infected, n=62 and -uninfected, n=213) and non-injection
43 substance users (HIV-infected, n=33 and -uninfected, n=186); and non-substance using
44 controls (n=56) from Mombasa, coastal town of Kenya. The prevalence of anemia was higher
45 in HIV infected ISU (48.4%) and non-ISU (63.6%) ($p<0.0001$); and HIV uninfected ISUs
46 (56.3%) compared to HIV-uninfected non-ISUs (39.2%) and non-substance using controls
47 (28.6%; $p=0.0028$). Hypochromic anaemia was more prevalent in the HIV-infected ISU
48 (50.0%) and non-ISU (61.9%), and HIV-negative ISU (63.3%) relative to the HIV-negative
49 non-ISU (39.7%) and non-substance using controls (56.3%; $p=0.0007$). Mild
50 immunodeficiency dominated in the HIV infected individuals (HIV-infected ISU, 32.3% and
51 non-ISU, 21.2%) versus HIV-uninfected ISU (16.9%); non-ISU (12.9%); and non-substance
52 users (14.3%) while severe immunosuppression prevailed in HIV infected substance users
53 (ISU, 14.5% and non-ISU, 15.2%) against HIV uninfected substance users (ISU, 5.2% and
54 non-ISU, 3.8%); thus immunosuppression in substance users is aggravated with HIV
55 infection. Moreover, drug-induced immunosuppression is associated with a higher likelihood
56 of anaemia in HIV-uninfected substance users; ISU (OR=3.95, CI=1.934-8.077, $p<0.0001$)
57 and non-ISU (OR=3.63, CI=1.571-8.39, $p=0.003$). Altogether, hypochromic anaemia,
58 normochromic anaemia and CD4+ T-helper cytopenia are the most prevalent hemocytopenias
59 in HIV infected and uninfected injection and non-injection substance users.

60

61 Introduction

62 Of the 36.9 million people living with HIV/AIDS in the world, 25.8 million reside in Sub-
63 Saharan Africa (1). In addition, out of the 2 million new HIV infections globally, 1.4 million
64 are recorded in Sub-Saharan Africa (1,2). Substance use has been implicated in the soaring
65 HIV burden and HIV-disease progression in the world (3–20). For instance, the United
66 Nations Office on Drugs and Crime (UNODC) report published in 2018 indicates that 12.5%
67 of Injection Substance Users (ISU) were infected with HIV by the end of 2017 with 5.6% of
68 the global population having used illicit substances (21–25). Injection drug use has been
69 described as one of the major factors that propel the HIV burden worldwide through the
70 practice of sharing hypodermic needles and engaging in unprotected sex with drug and non-
71 drug users (6,26,27) heightening HIV transmission in injection drug users compared to the
72 general population (5). In addition, People-Who-Inject-Drugs (PWID) make up 30% of the
73 new HIV infections in the world (28,29). Non-injection substance use also increases the risk
74 of HIV infection due to altered judgment and increased risky sexual behaviours in non-ISU
75 (9,22,30). Both illicit substance use and HIV infections are increasing in the African
76 continent especially in urban and coastal regions (10,23,24,26,31–38). There are 1.02 million
77 ISUs in Africa out of whom 123,420 are infected with HIV(1,28,29).

78 HIV infections and illicit substance use have individually been implicated for derangements
79 in the hematologic and immune profiles (5,27,39–49). For instance, HIV infections cause
80 alterations in the hematologic measures. Anaemia, leukopenia and thrombocytopenia are the
81 most frequent hematologic manifestation in HIV-infected individuals (46,50,51). In addition,
82 CD4⁺ T-helper lymphocyte counts are decreased in HIV infection; with lower CD4-counts
83 exhibited in HIV-infected persons compared to HIV-uninfected individuals. (52). Immune
84 status is routinely based on CD4⁺ T-cell counts (53) Likewise, substance use has been
85 associated with haematological and immune perturbations in HIV uninfected injection and
86 non-injection substance users (26,54). For instance, neutrophilia has been observed in heroin
87 and opium addicts (43,55) while neutropenia, eosinopenia and lymphopenia have been
88 associated with the abuse of Marijuana (*Cannabis sativa*) (56) and chronic alcoholism (57).
89 Monocytosis has been observed in individuals who use Khat (*Catha edulis*) (58) while
90 monocytopenia associated with *Cannabis* use and alcoholism hence decreased proliferation
91 and impaired monocyte and macrophage function (56,57). It is, therefore, possible that these
92 derangements in the haematologic and immune profiles are exacerbated in HIV-positive
93 substance users. (46,50,51). Immune status is an important marker of HIV disease

94 progression and a strong determinant for the initiation of therapy (59). Thus, assessment of
95 anaemia levels and cellular morphology is important in elucidating the underlying
96 mechanisms associated with these observed blood derangements which will, in turn, support
97 the treatment of drug use by delivering services aimed at reducing the adverse health
98 consequences of substance use. Malnutrition has been observed in both HIV-infected and
99 HIV-uninfected injection substance users (4). Irregular carbohydrate, lipid and protein
100 metabolism have been documented in heroin, crack-cocaine addicts and cigarette smokers
101 (60). HIV-1 viral load in-conjunction with immunosuppression have been utilized as markers
102 of HIV disease progression and the initiation of antiretroviral therapy treatment (59,61–65).
103 HIV-1 disease progression has been observed to increase in heroin abusers (4,50,66). Routine
104 hematologic and immune status evaluations guide disease classification and quality
105 management of patients. However, the interplay between HIV infection and substance use on
106 hematologic profiles has not been reported among substance users in Kenya. This study
107 investigated erythrocyte measures, anaemia (levels, types and aetiology) and its association
108 with under-nutrition, immunosuppression and viral failure in Kenyan illicit substance users.

109

110 **Materials and methods**

111 **Study site, design and population**

112 This cross-sectional immune-hematologic study was conducted among HIV-1-positive and
113 HIV-1-negative injection substance (ISU) and non-injection substance (non-ISU) users in
114 Mombasa, a coastal Kenyan city. All HIV-1-positive participants in this study had not been
115 previously initiated on any antiretroviral treatment regimen. The detailed description of the
116 study site and study population are published elsewhere (30). The study population was
117 stratified as follows: 1). HIV-positive Injection substance users (HIV+ISU+); 2). HIV-
118 negative Injection Substance Users (HIV-ISU+); 3). HIV-positive non-injection substance
119 users (HIV+ISU-); 4) HIV-negative non-injection substance users (HIV-ISU-) and 5).
120 controls, who never consumed any of the illicit substances as described in the UNODC
121 registry (28,29).

122 **Ethical considerations**

123 Ethical approvals for the study was obtained from the Kenyatta University (Protocol
124 KU/R/COMM/51/32-4) and the Masinde Muliro University of Science and Technology
125 (Protocol MMU/COR:403012-vol2[8]) institutional review board (IRB). All the respondents
126 were exhaustively educated as per the recommended guidelines (67) and written informed
127 consent obtained prior to enrollment.

128 **Body mass index (BMI)**

129 Anthropometric measures were obtained from each study participants at enrolment as per the
130 Centres of Disease Control guidelines (68). Height (m) was measured to the nearest 0.1 cm
131 using the Health-o-meter PORTROD wall mounted height rod (Health O meter[®], McCook,
132 USA). Study participants were weighed in kilograms (kg) using a portable digital weight
133 scale (Richforth Electronics Co., Fuzhou, China). The BMI was calculated using the height
134 and weight measurements as previously described (68) and BMI<18.5 Kg/m² defined as
135 underweight.

136

137 **Collection of blood samples**

138 5ml of venous blood samples was collected from the freely consenting participants by a
139 certified phlebotomist using a vacutainer assembly into two EDTA and Serum Separating
140 Tubes (SST), BD vacutainer[™] tubes (BD, Franklin Lakes, USA). Blood was collected

141 between 8.00am and 10.00am prior to the participants having breakfast to control for the
142 haematological changes due to the circadian rhythm and nutritional status hence obtaining
143 strictly comparable values. All laboratory tests were performed within two hours of sample
144 collection to maintain sample integrity. EDTA blood was used for haematological analysis
145 while SST was used for serum extraction in HIV-1 viral load quantification.

146 **Hematologic measurements**

147 Complete Blood Counts were done within the first hour of blood collection using the
148 quantitative BC-3200 Mindray auto-haematology analyser (Mindray™ Inc., Mahwah, USA).
149 Anaemia levels and types were classified based on haemoglobin concentration prescribed by
150 the World Health Organization (41) while anaemia aetiology was classified based on blood-
151 markers, cellular morphology and staining characteristics (54–56).

152 **Preparation of blood slides**

153 Thin blood films were made on new microscope slides (labelled with participant ID) to
154 prevent cell aggregation and stain precipitation. Back up smears were also made. The thin
155 smears were thoroughly air-dried followed by methanol fixation for 10 minutes. The blood
156 smear was then completely covered with undiluted Leishman Stain which was added
157 dropwise using a bulb-pipette. Twice the volume of buffered water (pH. 6.8) was gently
158 added and thoroughly mixed. Staining was done for 10 minutes after which the slide was
159 washed off under running tap water. The back of the slide was wiped and the slide placed
160 standing on a draining rack for the smear to dry.

161 **Microscopic analysis**

162 Examination of the stained blood films was done by two independent and blinded hemato-
163 technologists who assessed erythrocyte morphology. Slides with differences of more than
164 5% in the results of the two hemato-technologists were re-read by a third independent
165 hemato-technologist. Ten per cent (n=55) of the read slides were randomly selected and the
166 results confirmed by a haemato-pathologist.

167 **CD4+ T-cell enumeration**

168 Fifty microlitres (50µl) of EDTA anticoagulated blood was stained with anti-CD3
169 fluorescein isothiocyanate (FITC), anti-CD4 phycoerythrin (PE) and anti-CD45 peridinin
170 chlorophyll protein (PerCP) fluorescent-labelled mouse-anti-human monoclonal antibodies

171 (BD Tri-test Kit™) (62). CD4+ T cell counts were determined using a BD FACSCalibur
172 flow cytometer (Becton-Dickinson™, Franklin Lakes, USA). CD4+- T-helper cell counts
173 <500 cells/ μ / was defined as immunosuppression (69).

174 **HIV-1 viral load determination**

175 RNA was extracted from 200 μ l of serum in accordance with the Abbott m2000sp sample
176 preparation system protocol. HIV-1 viral loads were then determined using the automated
177 Abbott m2000SP Real-Time System according to the manufacturer's instructions (Abbott
178 Molecular Inc., Illinois, U.S.A). The lower limit of viral load quantification was 150 (2.18
179 \log_{10}) copies/mL of serum. Virological failure as defined as HIV-1 viral load \geq 1000
180 copies/mL (70).

181 **Statistical analysis**

182 Statistical analysis was done in RStudio Version 1.1.383 (©2009-2017 RStudio, Inc.) The
183 continuous variables such as weight, height and BMI that were normally distributed were
184 compared across the groups using a one-way ANOVA test. Absolute CD4 counts and
185 erythrocytic measures were compared using non-parametric ANOVA (Kruskal-Wallis Test)
186 followed by Bonferroni post-hoc corrections for multiple comparisons. Viral-loads were
187 compared between the two groups using the Mann-Whitney test. Binary logistic regression
188 analysis was performed within each group to examine the association of anaemia, with under-
189 nutrition, immune-suppression and HIV-1 viral failure; controlling for age, gender, duration
190 and frequency of substance use in injection substance users while age and gender were
191 controlled in the non-injection substance users and controls. All tests were two-tailed and p
192 values <0.05 were considered statistically significant.

193 **Results**

194 **Anthropometric measurements, CD4 and viral load**

195 Demographic measures, CD4 counts and viral load are as presented in Table 1. A total of 550
196 adults (males, n=355 and females, n=195) were recruited into the study. This comprised of
197 HIV-positive injection substance users (HIV+ISU+, n=62), HIV-negative injection substance
198 users (HIV-ISU+, n=213), HIV-positive non-injection substance users (HIV+ISU-, n=33),
199 HIV-negative non-injection substance users (HIV-ISU-, n=186) and non-substance using
200 controls (n=56). The median age (years) was significantly different among the study groups
201 (p=0.0047) with posthoc analysis indicating higher median age in HIV+ISU- (p=0.0499) and
202 HIV-ISU- (p=0.0112) compared to the controls. Median height (m) was different across the
203 study groups (p<0.0001) such that HIV-ISU+ were taller than the HIV+ISU- (p=0.0061) and
204 HIV-ISU- (p<0.0001). Similarly, weight (kg) differed across the study groups (p<0.0001) and
205 was higher in HIV+ISU+ than HIV-ISU- (p<0.0001). In addition, under-nutrition rates were
206 higher in HIV+ISU+ (32.3%), HIV-ISU+ (47.4%), HIV+ISU- (48.5%) and HIV-ISU-
207 (22.6%) compared to the healthy controls (8.9%).

208 CD4 T-helper cell counts varied across the groups (p<0.0001). These were depressed in
209 HIV+ISU+ (median=519 cells/ μ l, IQR=471) compared to HIV-ISU+ (median=905 cells/ μ l,
210 IQR=639, p<0.0001), HIV-ISU- (median=859 cells/ μ l, IQR=515, p<0.0001) and healthy
211 controls (median=774 cells/ μ l, IQR=461, p=0.0014). Moreover, immunosuppression was
212 most prevalent in HIV+ISU+ (46.8%), HIV-ISU+ (22.1%), HIV+ISU- (36.4%) compared to
213 the HIV-ISU- (16.7%) and controls (17.9%).

214 HIV-1 viral copies were higher in HIV+ISU+ (median=344copies/ μ l) compared to
215 HIV+ISU- (median=150copies/ μ l) However, these differences were not statistically
216 significant. The rates of viral failure (\geq 1000 copies/ μ l) were pronounced in both groups (
217 HIV+ISU+, 53.2% and HIV+ISU- (54.5%).

218

219 **Table 1. Anthropometric measures, CD4 and viral loads.**

220

		Non-Injection Substance Users			Injection Substance Users		
221	Characteristics	Controls n=56	HIV-ISU- n=186	HIV+ISU- n=33	HIV-ISU+ n=213	HIV+ISU+ n=62	P-Value
222	Age, yrs	26.8 (10.3)	31.2 (11.9) ^a	34.2 (14.7) ^a	31.7 (9.1) ^b	30.6 (6.3)	0.0047
223	Female/male, (%)	53.6/46.4	55.4/44.6	51.5/48.5	6.6/93.4	50.0/50.0	<0.0001
Anthropometric							
224	Height (metres)	1.6 (1.5-1.8)	1.6 (1.4-1.8)	1.6 (1.5-1.8) ^a	1.7 (1.5-1.9) ^c	1.7 (1.4-1.8)	<0.0001
	Weight (kg)	60 (44-88)	61 (33-98)	52 (38-87) ^{b,c}	54 (40-108) ^c	54 (39-74) ^c	<0.0001
225	Body mass index (BMI)	21.4(15.5-34.6)	21.8(12.8-40.0)	18.7(13.1-33.9) ^{a,c}	18.6(12.1-33.3) ^c	18.9(15.2-25.6) ^c	<0.0001
226	BMI<18.5, n (%)	5 (8.9)	42 (22.6)	16 (48.5)	101 (47.4)	20 (32.3)	
	CD4 count, cells/μl	774 (462.0)	859 (515)	647 (573)	905 (639)	519 (471)	<0.0001
227	≥500 cells/μl, n (%)	10 (17.9)	31 (16.7)	12 (36.4)	47 (22.1)	29 (46.8)	
	HIV-1 viral load, copies/μl	-	-	150 (150-571900)	-	344 (150-451300)	0.7556
228	≥1000 copies/μl, n (%)	-	-	18 (54.5)	-	33 (53.2)	

229

230 Results are presented as medians (range) or as indicated. HIV, Human Immunodeficiency Virus; HIV+ISU+, HIV-positive injection substance
 231 users; HIV-ISU+, HIV-negative, injection substance users; HIV+ISU-, HIV-positive non-injection substance users; HIV-ISU-, HIV-negative
 232 non-injection substance users. Chi-square test was used for proportions while the Kruskal-Wallis test used for continuous data followed by duns'
 233 posthoc for multiple comparisons. Significant p-values are in bold. ^a**p<0.05:** HIV+ISU- vs. controls (age, height, BMI); HIV-ISU- vs. controls
 234 (age) and HIV+ISU- vs. HIV-ISU- (BMI); ^b**p<0.01:** HIV-ISU+ vs. HIV+ISU- (age); HIV+ISU- vs HIV-ISU- (weight) and HIV+ISU- vs.
 235 controls (weight); ^c**p<0.001:** HIV+ISU+ vs. HIV-ISU- (weight, BMI); HIV+ISU+ vs. controls (BMI); HIV-ISU+ vs. HIV-ISU- (height,
 236 weight, BMI) and HIV-ISU+ vs. controls (weight, BMI).

237 **Erythrocyte measures**

238 Erythrocyte measures are summarised in Table 2. The median erythrocyte counts differed
239 across the groups ($p=0.0029$) with higher counts in the HIV-ISU+ (median, $4.9 \times 10^{12}/L$;
240 IQR=0.2) relative to HIV+ISU- (median, $4.0 \times 10^{12}/L$; IQR=1.2; $p=0.0078$). Haemoglobin
241 concentration also differed amongst the groups ($p<0.0001$), and was elevated in the HIV-
242 ISU+ (median, 12.6g/dL; IQR=2.3) compared to the HIV+ISU- (median, 11.6g/dL; IQR=3.3;
243 $p=0.0077$). Similarly, HIV+ISU- had lower haemoglobin compared to the HIV-ISU-
244 (median, 12.8g/dL; IQR=2.5; $p=0.0003$) and controls (median, 13.5g/dL; IQR=2.7;
245 $p<0.0001$). Moreover, haematocrit was altered across the groups ($p=0.0025$) and was raised
246 in HIV-ISU+ (median, 41.8%; IQR=6.4) relative to HIV+ISU- (median, 38.5%; IQR=11.7;
247 $p=0.0379$) and HIV-ISU- (median, 39.7%; IQR=7.8; $p=0.0073$).

248 The median mean corpuscular volume (MCV) was not similar across the study groups. The
249 mean corpuscular haemoglobin (MCH) values differed across the study groups ($p=0.0020$).
250 Depressed MCH levels were observed in HIV-ISU+ (median, 26.4pg; IQR=3.7) compared to
251 the controls (median, 29.0pg; IQR=52; $p=0.0006$). Meanwhile, the mean corpuscular
252 haemoglobin concentration (MCHC) differed amongst the groups ($p<0.0001$) and was low in
253 HIV-ISU+ (median, 31.5g/dL; IQR=4.2) relative to HIV-ISU- (median, 31.9g/dL; IQR=2.7;
254 $p<0.0001$) and controls (median, 32.5g/dL; IQR=2.8; $p=0.0004$). The red cell distribution
255 width (RDW) was different across the groups ($p=0.0036$) with posr-hoc analysis indicating
256 lower levels in HIV-ISU+ (median,13.5%; IQR=2.3) in comparison to HIV-ISU- (median,
257 14.7%; IQR=3.2;, $p=0.0029$).

258

259 **Table 2. Erythrocyte measures.**

Erythrocyte measures	Non-Injection Substance Users			Injection Substance Users		P-Value
	Controls n=56	HIV-ISU- n=186	HIV+ISU- n=33	HIV-ISU+ n=213	HIV+ISU + n=62	
RBC, ×10 ¹² /L	4.8 (1.0)	4.8 (0.9)	4.0 (1.2) ^a	4.9 (0.8)	4.7 (0.7)	0.0029
HGB, g/dL	13.5 (2.7)	12.8 (2.5)	11.6 (3.3) ^{a,c}	12.6 (2.3)	12.5 (1.8)	<0.0001
HCT, %	40.8 (6.5)	39.7 (7.8)	38.5 (11.7) ^a	41.8 (6.4)	39.9 (7.0)	0.0025
MCV, fL	89.8 (12.4)	84.9 (10.7) ^a	86.1 (17.3)	85.2 (8.8)	85.9 (9.5)	0.0726
MCH, pg	29.0 (5.2)	27.3 (4.4)	27.6 (6.2) ^c	26.4 (3.7)	27.0 (4.4)	0.0020
MCHC, g/dL	32.5 (2.8)	31.9 (2.7)	31.5 (4.2) ^c	30.7 (3.0)	31.3 (2.7)	<0.0001
RDW,%	14.4 (2.8)	14.7 (3.2)	13.9 (2.9) ^b	13.5 (2.3)	14.0 (3.0)	0.0036

260 Data shown are medians (IQR). HIV, Human Immunodeficiency Virus; HIV+ISU+, HIV-
 261 positive injection substance users; HIV-ISU+, HIV-negative, injection substance users;
 262 HIV+ISU-, HIV-positive non-injection substance users; HIV-ISU-, HIV-negative non-
 263 injection substance users; RBC, red blood cell; HGB, Haemoglobin; HCT, haematocrit;
 264 MCV, mean corpuscular volume; MCH, mean corpuscular haemoglobin; MCHC, mean
 265 corpuscular haemoglobin concentration; RDW, red cell distribution width. Erythrocyte
 266 measures were compared across the groups using the Kruskal-Wallis test followed by duns
 267 posthoc test. Significant p-values are in bold, ^a**p<0.05:** HIV-ISU+ vs. HIV+ISU- (RBC, Hgb,
 268 HCT); HIV-ISU- vs. controls (MCV). ^b**p<0.01:** HIV-ISU+ vs. HIV-ISU- (RDW). ^c**p<0.001:**
 269 HIV-ISU+ vs HIV-ISU- (MCHC); HIV-ISU+ vs. Controls (MCH, MCHC); HIV+ISU- vs.
 270 HIV-ISU- (Hgb).

271 **Anaemia levels, types and aetiology**

272 The overall rates of anaemia were higher in HIV-positive subjects (ISU, 48.4% and non-ISU,
 273 63.6%) and HIV-negative ISU (56.3%) relative to the HIV negative non-ISU (39.2%) and
 274 controls (28.6%) (Fig 1). Most of the anaemia was mild and moderate (HIV-positive ISU,
 275 56.7% and 40%, and non-ISU, 33% and 52.4%; HIV-negative ISU, 66.7% and 32.5%, and
 276 non-ISU, 63% and 28.8%), respectively.

277 **Fig 1. Anaemia levels across the study groups.**

278 Primary axis shows anaemia levels represented by the shaded bars. Secondary axis shows the
 279 haemoglobin concentration where the whiskers (-) represent the 25th and 75th percentiles for
 280 haemoglobin values while the dot (·) represent the median haemoglobin value. p-values are

281 for the haemoglobin concentration within each anaemia level. HIV-ISU-, HIV negative non-
282 injection substance user; HIV+ISU-, HIV positive non-injection substance users; HIV-ISU+,
283 HIV negative injection substance users; HIV+ISU+, HIV positive injection substance users.

284 Based on RBC morphology, the most prevalent anaemia was hypochromic and
285 normochromic anaemia: (HIV-positive ISU, 50% and 46.7%; and non-ISU, 61.9% and
286 38.1%; HIV-negative ISU, 63.3% and 35%, and non-ISU 39.7% and 60.3%). Hyperchromic
287 anaemia was less common manifesting amongst the HIV+ISU+ (3.3%), the HIV-ISU+
288 (1.7%) and controls (6.3%) (Fig 2).

289 Fig 2. Anaemia types across the study groups.

290 Primary axis shows anaemia types represented by the shaded bars. Secondary axis shows the
291 mean corpuscular haemoglobin concentration (MCHC) where the whiskers (-) represent the
292 25th and 75th percentiles for MCHC while the dot (·) represent the median. p-values are for
293 the MCHC values within each anaemia type. HIV-ISU-, HIV negative non-injection
294 substance user; HIV+ISU-, HIV positive non-injection substance users; HIV-ISU+, HIV
295 negative injection substance users; HIV+ISU+, HIV positive injection substance users

296 Anaemia due to mixed aetiology was the most prevalent (49.6%), followed by chronic
297 inflammation (22.9%), nutritional deficiency (15.8%) and blood loss (12.7%) (Fig 3).

298 Fig 3. Anaemia aetiology across the study groups.

299 Primary axis shows anaemia aetiology frequency as represented by the shaded bars.
300 Secondary axis shows the red cell distribution width (RDW) where the whiskers (-) represent
301 the 25th and 75th percentiles for RDW while the dot (·) represent the median. p-values are for
302 the RDW values within each aetiology. HIV-ISU-, HIV negative non-injection substance
303 user; HIV+ISU-, HIV positive non-injection substance users; HIV-ISU+, HIV negative
304 injection substance users; HIV+ISU+, HIV positive injection substance users

305 Association of anaemia with undernutrition, immune suppression 306 and viral failure

307 Regression analysis within the HIV+ISU+ and HIV+ISU- indicated that anaemia was neither
308 associated with under-nutrition, immunosuppression or viral failure. However, anaemia was
309 associated with immunosuppression, amongst the HIV-ISU+, (OR=3.952, CI=1.934-8.077,
310 $p<0.0001$) and HIV-ISU- (OR=3.630, CI=1.571-8.390, $p=0.003$).

311 Discussion

312 Anaemia is characterized by the insufficiency in the number of red blood cells, consequently
313 affecting their oxygen carrying and delivery capacity to tissues (71). Anaemia in Human
314 Immunodeficiency Virus (HIV) infected persons is life threatening as it is associated with
315 enhanced HIV disease progression hence diminished survival (72). Substance use, on the
316 other hand, has been associated with varied haematological derangements including anaemia

317 (73–75). This cross-sectional study investigated the interplay between substance use, HIV
318 infection and anaemia in Kenyan injection and non-injection substance users not under any
319 active antiretroviral treatment. Erythrocyte indices, anaemia levels, type and aetiology were
320 determined. It was observed that HIV-negative illicit substance users with drug-induced-
321 immune-suppression were thrice as likely to develop anaemia compared to their HIV-positive
322 counterparts. This is important in fostering the treatment and management of illicit substance
323 users while reducing the adverse health consequences of substance use.

324 The overall prevalence of anaemia was highest amongst the HIV-positive non-injection
325 substance users, HIV negative injection substance users and HIV positive injection substance
326 users. Typically, anaemia was more severe in HIV positive substance users compared to HIV
327 negative substance users. It is likely that HIV-infection in substance users aggravates
328 anaemia. HIV has been shown to replicate in other cells of the haematopoietic lineage other
329 than the immune cells thus leading to the haematological derangements, with erythroid
330 dysplasia observed as a common feature upon bone marrow examination of people who are
331 infected with HIV (76–78). The overall prevalence of anaemia amongst the healthy controls
332 (28.6%) in the study area of the coastal city of Mombasa Kenya was lower than that of the
333 global prevalence described elsewhere (79), but relatively higher compared to the World
334 Health Organization estimates for the prevalence of anaemia (24.8%) in the general
335 population of Kenya (80). The controls in this study were recruited from asymptomatic
336 individuals within the community. Thus, it was observed that in as much as individuals
337 within a community would seem healthy due to lack of clinical symptoms, laboratory
338 investigations seem to suggest otherwise. High rates of anaemia in the general population
339 from the coastal city are attributable to the extravagant prevalence of malnutrition, chronic
340 protozoal and helminthic infections (81–83).

341 Generally, anaemia rates were high in the substance-using groups compared to the controls
342 suggesting that illicit substance use is associated with anaemia which is exacerbated by HIV
343 infection. It is possible that drug metabolites negatively influence erythropoietic hormones
344 and may trigger intravascular haemolysis and premature splenic destruction of red blood
345 cells. However, this hypothesis needs to be substantiated with further research on the same.
346 Mild and moderate anaemia were the most prevalent types of anaemia based on haemoglobin
347 concentration (71). Severe anaemia was the least recorded type of anaemia as individuals in
348 this state are either bedridden or comatose. However, we were able to observe few cases of

349 individuals with severe anaemia who were neither comatose nor bedridden across all the
350 study groups. A possible explanation is that the anaemia amongst these individuals might
351 have developed over long periods of time providing room for the physiologic compensatory
352 mechanisms to kick in hence allowing greater loss of red blood cell (RBC) mass over time
353 without any obvious clinical symptoms (84).

354 Chronic inflammation was the second most common mechanism associated with anaemia
355 prevailing in injection and non-injection substance users. Therefore, substance use is likely to
356 be associated with inflammation. Khat and alcohol use has been shown to cause intestinal
357 lesions leading to gastritis (85–90). This intestinal inflammation is likely to cause the liver to
358 secrete more of the hormone hepcidin which acts by preventing the body from utilizing stored
359 iron (ferritin) and subduing iron absorption in the duodenum. As a matter of fact, anaemia
360 due to nutritional deficiency was the third most common cause across all the study
361 participants. Nutritional deficiency anaemia is probably due to the low dietary intake of iron,
362 folate and vitamin B12 in the general population and substance-induced damage of the
363 gastrointestinal mucosa within the substance using groups (91). Mal-absorption states in these
364 groups need to be investigated including the production and inhibition of the intrinsic factor,
365 which is important in differentiating the types of nutritional anaemias.

366 Anaemia due to mixed aetiology was the most frequent mechanism across our study
367 participants. However, due to the limited resources and time constraints, we could not
368 perform further investigations to specifically determine the kinetics underlying the mixed
369 aetiology of anaemia. Despite this challenge, reports from our analysis indicated a
370 coexistence of the above mechanisms with other aetiologies whose haematological
371 “blueprints” were suggestive of underlying hemoglobinopathies and thalasseмии. However,
372 this claim needs to be substantiated by further investigations. In addition, there were wispy
373 signs indicative of intravascular haemolysis and suppression of erythropoiesis. We speculate
374 that intravascular haemolysis could be attributable to the damping effect where the drug
375 metabolites are adsorbed onto the RBCs which become antigenic resulting in their untimely
376 destruction by the immune and the reticuloendothelial system.

377 Anaemia observed was also classified based on the RBC chromasia as hyperchromic,
378 hypochromic and normochromic. Hypochromic anaemia was the most prevalent type of
379 anaemia accounting for more than 50% of the anaemia. Hypochromic anaemia was common
380 across all the study groups. Some of the mechanisms driving the existence of hypochromic

381 anaemia include iron deficiency, toxic anaemia, sideroblastic anaemia, myelodysplasia,
382 haemolytic thalassaemia and megaloblastic anaemia (92). Chronic alcohol users have been
383 shown to present with clinical findings suggestive sideroblastic and megaloblastic anaemia
384 (57). In our study, a nutritional deficiency was the third most common cause of anaemia,
385 which could be attributable to insufficient iron supplementation in the diet. Studies have
386 reported the most common cause of anaemia in resource-limited tropical settings include
387 underlying nutritional deficiencies and endemic parasitic infections (93). Substance addicts
388 have been observed to have altered eating habits such as bypassing meals and fasting in order
389 to prolong the effects of the drugs (94). These addicts usually have limited finances which are
390 mainly spent on sustaining their drug habits hence have a lower dietary intake of fruits,
391 vegetables and other animal products. As such, they are prone to numerous vitamin
392 deficiencies, some of which are necessary for the synthesis of haemoglobin (such as vitamin
393 B12, folate) while others aid in the absorption of iron from the intestines (e.g. vitamin C).
394 Microcytic hypochromic anaemia was the second most common type of hypochromic
395 anaemia amongst the study participants and has been associated with chronic inflammation
396 and thalassaemias (84). Consistent with our findings, previous studies showed that the use of
397 illicit injection substance was associated with normocytic hypochromic anaemia whose main
398 aetiology is the iron deficiency (95). However, the physiological and biochemical
399 mechanisms behind the iron deficiency have not been demonstrated warranting further
400 laboratory investigations to delineate between real nutritional deficiency and iron distribution
401 disorders.

402 Normochromic anaemia was the second most prevalent type of anaemia. Normochromic
403 anaemia has been associated with a number of mechanisms such as short-term blood loss
404 with adequate physiologic reserves, accelerated red blood cell turnover and suppression of
405 red blood cell production when there is adequate iron intake (92). Normocytic normochromic
406 anaemia was most prevalent in HIV-negative non-injection substance users (37%); HIV
407 infected non-injection drug users (33.3%) and HIV infected injection drug users (30%). Since
408 normocytic normochromic anaemia is more predominant in HIV infected individuals, it is
409 concluded that HIV disease accelerates normocytic normochromic anaemia. The aetiology of
410 normocytic normochromic anaemia has been described elsewhere (96) to be as a result of
411 chronic disease, destruction of red blood cells and the disappearance of erythrocyte
412 precursors from the bone marrow; factors which have been well recorded in HIV disease
413 progression (7,97–102).

414 Haemoglobin levels were significantly lower in HIV positive non-injection drug users
415 compared to controls, HIV naïve non-injection drug users and HIV naïve injection drug
416 users. This observation proposes that HIV infection may be the culprit resulting in reduced
417 haemoglobin. This is backed up by a study which revealed that advanced HIV progression is
418 marked by a reduction in haemoglobin (97) due to alterations in cytokine production
419 affecting other homeostatic processes such as hematopoiesis; autolysis and Vitamin-B12
420 deficiency due to impaired absorption (103).

421 Results from this study show a significant decline in erythrocyte counts in HIV infected non-
422 injection substance users compared to HIV-negative injection substance users. HIV-negative
423 non-ISU exhibit higher erythrocyte levels suggesting that HIV infection may play a role in
424 erythrocyte depression. This finding is similar to a study that investigated the role of HIV in
425 anaemia (72,101). Different mechanisms have been conjectured by which HIV suppress RBC
426 counts and they include marred division and endurance of hematopoietic progenitor cells
427 (98,99), aberrant cytokine production such as erythropoietin by stromal cells and autoimmune
428 responses resulting in the untimely destruction of red blood cells in the spleen and by
429 autoantibodies (101).

430 On the other hand, the relatively normal erythrocyte counts in HIV-infected injection
431 substance users similar to that of the controls suggest that injection substance use seem to
432 ameliorate RBC populations in HIV infected individuals. This finding is similar to a different
433 study where opium and heroin-dependent individuals did not exhibit significant differences in
434 their erythrocyte populations compared to the healthy groups (43). However; despite the fact
435 that RBC population is not significantly altered in number; erythrocyte function is altered as
436 shown in a different study (104) where the red cell immune-adherence function was
437 significantly decreased in heroin users. Therefore, it would be of great interest to investigate
438 erythrocyte function amongst these groups.

439 Immune status was classified based on the Centers for Disease control guidelines (105).
440 Results from this study show that immune suppression was marked in HIV positive substance
441 users. This is attributable to the fact that HIV virus replicates in immune cells causing their
442 premature death upon pyroptosis (37,44,101). Immunosuppression was also observed in illicit
443 substance users who were HIV negative. Studies conducted on non-human primates have
444 demonstrated the immunosuppressive effects of morphine on the immune cells (106). In the
445 aforementioned study, T-cell activation in non-human primates was significantly decreased

446 upon morphine administration with negligible changes in T-cell, neutrophil and natural killer
447 cell counts. Proteomic analysis in this study showed a significant decrease in the protein Ki-
448 67+. The Ki-67+ is an important signalling molecule that aids in cellular proliferation
449 (107,108). It would be of very much interest to investigate the proteome and metabolome
450 within our study population to better understand the alterations in their physiological
451 processes.

452 The regression analysis outcomes of this study suggest that HIV negative substance users
453 with drug-induced immunosuppression are likely to develop anaemia compared to the HIV
454 positive substance users. We speculate that this observed association may be as a result of
455 deficiencies in one or more micronutrients other than iron such as copper and zinc that may
456 be critical for both immune function and production of haemoglobin by modulating enzymes
457 associated with these processes. However, this assertion needs to be tested and substantiated
458 by further studies.

459 **Conclusion and recommendations**

460 Haematological, immune and nutritional parameters are influenced by infections with HIV
461 and substance use. Combinations of these two factors exacerbate anaemia and other
462 haematological anomalies. Haemoglobin levels and red blood cell indices are significantly
463 altered in HIV infected substance users compared to HIV negative substance users.
464 Examination of the bone marrow for erythroblasts and reticulocyte counts are warranted to
465 determine the effect of substance use and HIV on haematopoiesis in these individuals. In
466 addition, there is the need for further biochemical tests such as serum iron, ferritin, total iron
467 binding capacity (TIBC), transferrin, folate, cobalamin, vitamin-C bilirubin and haptoglobin
468 concentration, including testing for liver enzymes, cytokines and kidney function tests to
469 examine the rate of RBC turnover in these individuals.

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